

Interview Summary	Application No.	Applicant(s)	
	10/761,404	YAMASAKI, SHINYA	
	Examiner	Art Unit	
	Jeffrey A. Smith	3625	

All participants (applicant, applicant's representative, PTO personnel):

(1) Jeffrey A. Smith. (3)_____.

(2) Allison Oleginski. (4)_____.

Date of Interview: 06 March 2006.

Type: a) ☒ Telephonic b) ☐ Video Conference
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☒ Yes e) ☐ No.

If Yes, brief description: Proposed claims 7-14 (attached).

Claim(s) discussed: 7-14.

Identification of prior art discussed: None.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The Examiner proposed modifications to the claims for the puposes of placing the claims in better US form. Ms. Oleginski proposed a slight modification to the preamble of the proposed claim 7. Ms. Oleginski authorized the changes by Examiner's Amendment.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Claims 1-6 (Cancelled)

7. (Currently Amended) A remote negotiation apparatus which allows, before a sale of a vehicle, a first operation terminal used by a salesman of the vehicle and a second operation terminal used by a customer to ~~to~~ [[bi-directionally]] bi-directional communication and ~~remotely negotiate~~ remote negotiation in real-time through a communication environment, the remote negotiation apparatus comprising:

a control unit; and

computer-executable instructions stored in computer-readable memory which when executed by the control unit:

a control unit which display cause a display of a predetermined form corresponding to a commercial transaction document related to the vehicle on the first operation terminal, cause a ~~requests~~ request of the salesman to input information content necessary for preparing~~[[,]]~~ the commercial transaction document, and cause ~~stores~~ storage of the input information content in a storage device, and which ~~displays~~, cause, on the basis of the information content stored in the storage device and updated in real-time, a display of ~~on the second operation terminal~~ [[,]] a commercial transaction document on the second operation terminal having the same information ~~contents~~ content as the commercial transaction document prepared by the salesman ~~[[,]]~~ on the basis of the stored information in the storage device and updated in real-time,

wherein the commercial transaction document is at least one of an estimate, a contract, and a purchase order,

Proposed at Interview of 3/6/06

wherein ~~said control unit~~ the computer-executable instructions, when executed by the control unit, further cause a requests request for alternative selection between a temporary storage state and a final storage state as a storage state in storing the information ~~contents~~ content of the commercial transaction document in the storage means device, and when the selected storage state is the temporary storage state, cause a condition in the apparatus whereby a change of the information ~~contents~~ content of the commercial transaction document by the customer is permitted, and the commercial transaction document is updated in real-time on the basis of the changed information ~~contents~~ content, and when the selected storage state is the final storage state, cause a condition in the apparatus whereby ~~the~~ a change of the information ~~contents~~ content of the commercial transaction document by the customer is prohibited, and

wherein ~~even when the selected storage state is the temporary storage state~~[[,]] ~~said control unit~~ the computer-executable instructions, when executed by the control unit, cause a condition in the apparatus which prohibits, even when the selected storage state is the temporary storage state, the a change of the information ~~contents~~ content of the commercial transaction document when a degree of the change of the information ~~contents~~ content of the commercial transaction document by the customer exceeds a predetermined range.

8. (Currently Amended) The apparatus according to claim 7, wherein when the selected storage state is the temporary storage state[[,]] and the customer completes ~~the~~ a change of the information ~~contents~~ content of the commercial transaction document by

~~the~~, the salesman is notified that the customer has completed the change of the information contents content of the commercial transaction document.

9. (Currently Amended) The apparatus according to claim 7, wherein when the selected storage state is the temporary storage state, and an approval operation for the information contents content of the commercial transaction document in the temporary storage state is executed by the customer, said control unit executes to cause a condition in the apparatus which changes the storage state to the final storage state.

10. (Currently Amended) The apparatus according to claim 7[[,]] ~~wherein said control unit~~ further comprising:

computer-executable instructions stored in computer-readable memory which when executed by the control unit:

~~receives~~ facilitate receipt of an instruction, from the customer, for designating a third party who is permitted to browse the commercial transaction document having the same information contents content as ~~these that~~ of the commercial transaction document that the customer ~~can~~ is permitted to browse,

~~provides~~ cause the control unit to provide, to the designated third party, information content related to the commercial transaction document having the same information contents content as ~~these that~~ of the commercial transaction document ~~by the third-party~~ provided to the customer, and

cause a condition in the apparatus which prohibits the a change of the information contents content of the commercial transaction document by the designated third party, even when the selected storage state is the temporary storage state.

11. (Currently Amended) The apparatus according to claim 7, wherein the information content of the commercial transaction document specifies a providing price of the vehicle, and when the selected storage state is the temporary storage state, said control unit executes to cause a condition in the apparatus which permits a change, by the salesman, of a the providing price of the vehicle specified by the commercial transaction document.

12. (Cancelled)

13. (Currently Amended) A remote negotiation method of allowing, before a sale of a vehicle, a first operation terminal used by a salesman of the vehicle and a second operation terminal used by a customer to bi-directionally communicate and remotely negotiate in real-time through a communication environment, the remote negotiation method comprising:

displaying a predetermined form corresponding to a commercial transaction document related to the vehicle on the first operation terminal;

requesting the salesman to input information content necessary for preparing the commercial transaction document;

storing the input information content in a storage device;

receiving a commercial transaction document browsing request from the customer; and

upon receiving the browsing request from the customer, displaying on the basis of the information content stored in the storage device and updated in real-time on the second operation terminal, a commercial transaction document having the same information contents content as the commercial transaction document prepared by the salesman ~~on the basis of the information stored in the storage device and updating the commercial transaction document in real-time~~; and

requesting alternative selection between a temporary storage state and a final storage state as a storage state in storing the information ~~contents~~ content of the commercial transaction document in the storage means device, and when the selected storage state is the temporary storage state, permitting a change of the information contents content of the commercial transaction document by the customer ~~is permitted~~, and updating the commercial transaction document ~~is updated~~ in real-time on the basis of the changed information contents content, and when the selected storage state is the final storage state, prohibiting ~~the~~ a change of the information contents content of the commercial transaction document by the customer ~~is prohibited~~,

wherein the commercial transaction document is at least one of an estimate, a contract, or a purchase order[[,]]; and

prohibiting, ~~wherein~~ even when the selected storage state is the temporary storage state, ~~the~~ a change of the information contents content of the commercial transaction document ~~is prohibited by the customer~~ when a degree of the change of the information

~~contents~~ content of the commercial transaction document ~~by the customer~~ exceeds a predetermined range.

14. (Currently Amended) ~~A program causing a computer to execute a remote negotiation method of claim 13~~ Computer-executable instructions stored in computer-readable media which, when executed by a computer, cause the computer to conduct a remote negotiation method of allowing, before a sale of a vehicle, a first operation terminal used by a salesman of the vehicle and a second operation terminal used by a customer to bi-directionally communicate and remotely negotiate in real-time through a communication environment, the remote negotiation method comprising:

displaying a predetermined form corresponding to a commercial transaction document related to the vehicle on the first operation terminal;

requesting the salesman to input information content necessary for preparing the commercial transaction document;

storing the input information content in a storage device;

receiving a commercial transaction document browsing request from the customer;

upon receiving the browsing request from the customer, displaying on the basis of the information content stored in the storage device and updated in real-time on the second operation terminal, a commercial transaction document having the same information content as the commercial transaction document prepared by the salesman;

requesting alternative selection between a temporary storage state and a final storage state as a storage state in storing the information content of the commercial

transaction document in the storage device, and when the selected storage state is the temporary storage state, permitting a change of the information content of the commercial transaction document by the customer, and updating the commercial transaction document in real-time on the basis of the changed information content, and when the selected storage state is the final storage state, prohibiting a change of the information content of the commercial transaction document by the customer,

wherein the commercial transaction document is at least one of an estimate, a contract, or a purchase order; and

prohibiting, even when the selected storage state is the temporary storage state, a change of the information content of the commercial transaction document by the customer when a degree of the change of the information content of the commercial transaction document exceeds a predetermined range.